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<div>DIPE IAP AUG 04 2006 OFFICE OF TRADEMARKS &amp; PATENTS</div> <b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))				<b>Docket No.</b> 3768	
In Re <b>Application of SHENDI, A.</b>					
<b>Application No.</b> 10/583,672 ✓	<b>Filing Date</b> 06/19/2006	<b>Examiner</b>	<b>Customer No.</b> 278	<b>Group Art Unit</b>	<b>Confirmation No.</b>
<b>Title: STATOR FOR AN ELECTRICAL MACHINE</b>					
<p style="text-align: center;">Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</p> <p style="text-align: center;"><b>37 CFR 1.97(b)</b></p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p style="text-align: center;"><b>37 CFR 1.97(c)</b></p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p style="padding-left: 40px;"><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p style="text-align: center;"><b>OR</b></p> <p style="padding-left: 40px;"><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p>					

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
3768

In Re: Application of: **SHENDI, A.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/583,672	06/19/2006		278		

Title: **STATOR FOR AN ELECTRICAL MACHINE**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Director is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

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08/02/2006

\_\_\_\_\_  
(Date)

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Signature of Person Mailing Correspondence

**MICHAEL J. STRIKER**

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

**\*This certificate may only be used if paying by deposit account.**

\_\_\_\_\_  
Signature

Dated: 08/02/2006

CC:



UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner:.

Group:

Attorney Docket # 3768

Applicant(s): SHENDI, A.

Serial No.: 10/583,672

Filed: 06/19/2006

For: STATOR FOR AN ELECTRICAL MACHINE

**INFORMATION DISCLOSURE STATEMENT**

August 1, 2006


Honorable Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

S I R S:

- X   In accordance with the Duty of Disclosure, Applicant(s) submit(s) herewith a copy of Foreign Search Report in a counterpart application and copies of the reference(s) indicated therein.
- X   In the event that the Foreign Search Report is in a foreign language, a translation thereof is herewith submitted.
- X   Attached hereto is a FORM PTO 1449 listing the references.
- X   Attached hereto is a copy of a reference cited in the specification of the application as filed. The specification itself recites the relevance of these documents, and pursuant to M.P.E.P. Section 609 A (3) this represents an acceptable Statement of Relevancy. The reference(s) appear(s) at the following page(s) and line(s) of the specification: PG 1 Line 9.
- Applicant petitions for consideration of this Information Disclosure Statement since it is being submitted after receipt of an office action. It is respectfully requested that the required fee be charged to the account of the undersigned: 19-4675.

- ☐ Attached hereto are copies of references cited which may be pertinent to this application. Since the references are in the English language, no statement of relevancy is submitted.
- ☐ Attached hereto is a copy of the Office Action issued in the corresponding German and European applications, together with a translation thereof and copies of the references cited therein. A list of the cited references is also attached.
- ☐ Attached hereto copies of references cited which may be pertinent to this application. An English translation of the reference is also attached.
- ☐ Attached hereto is a Statement of Relevancy and copies of references cited therein.
- ☒ Relevancy is indicated in an English language abstract or an English language equivalent attached hereto.

Respectfully submitted,

  
Michael J. Striker  
Attorney for Applicant(s)  
Reg. No. 27233

<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)				ATTY DOCKET NO. <b>3768</b>		APPLICATION NO. <b>10/583.672</b>	
				APPLICANT(S) <b>SHENDI, A.</b>			
				FILING DATE <b>06/19/2006</b>		GROUP ART UNIT	

PATENT & TRADEMARK OFFICE  
 AUG 04 2006

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	6,313,559	11/06/2001	KUSASE ET AL				
	5,642,013	06/24/1997	WAVRE				
	6,727,625	04/27/2004	OOIWA				

U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	2002/0036439	03/28/2002	OOIWA				

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		1 353 431	10/15/2003	EP (ENGLISH ABSTRACT)			✓	
		05184088	07/23/1993	JP (ENGLISH ABSTRACT)			✓	
		0 394 526	10/31/1990	EP (ENGLISH ABSTRACT)			✓	
		1 120 881	08/01/2001	EP (ENGLISH ABSTRACT)			✓	
		1 223 657	07/17/2002	EP ( ENGLISH ABSTRACT)			✓	

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>			
			OJO, O. "MULTIOBJECTIVE OPTIMUM DESIGN OF ELECTRICAL MACHINES FOR VARIABLE SPEED MOTOR DRIVES." PROCEEDINGS OF THE INDUSTRY APPLICATIONS SOCIETY ANNUAL MEETING. DEARBORN, SEPT 28- OCT. 1, 1991, NEW YORK, IEEE, US, BD. VOL. 2. PG 163-168, XP010056290. ISBN: 0-7803-0453-5.
			BIEDINGER J-M ET AL. "DIMENSIONNEMENT DES ACTIONNEURS ELECTRIQUES ALIMENTES A FREQUENCE VARIABLE SOUS FAIBLE TENSION." EUROPEAN VARIABLE JOURNAL APPLIED PHYSICS, EDP SCIENCES, LES ULIS, FR, BD.7, NR. 3, SEPTEMBER 1999. PG 24-261, XP0009330361 ISSN: 1286-0042.

EXAMINER	DATE CONSIDERED
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>	ATTY DOCKET NO. <b>3768</b>	APPLICATION NO. <b>10/583,672</b>
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<b>U.S. PATENT APPLICATION PUBLICATIONS</b>
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<b>FOREIGN PATENT DOCUMENTS</b>
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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		1 239 568	09/11/2002	EP (ENGLISH ABSTRACT)			✓	
		695 03 521	03/04/1999	DE (ENGLISH ABSTRACT)			✓	
		89 05 354	02/22/1990	DE (ENGLISH ABSTRACT)			✓	
		101 46 922	04/18/2002	DE (ENGLISH ABSTRACT)			✓	
		01/54254	07/26/2001	WO (ENGLISH ABSTRACT)			✓	

<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>
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